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Patent
272/068

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicant: Kevin Brian Hatton
Filed: March 15, 2002
Application No.: 10/088,564
For: UV-CURABLE COMPOSITIONS

Group Art Unit: to be assigned
Examiner: to be assigned

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with 37 CFR §§ 1.97 and 1.98, the items identified in this Information Disclosure Statement ("IDS") are brought to the attention of the Office. The items are listed on the attached form PTO-1449 and copies are enclosed for the convenience of the Examiner.

Some of the items identified herein were cited in the International Search Report (dated November 17, 2000) for the PCT counterpart of the above-captioned U.S. application. A copy of this Search Report is enclosed herewith. One of the references cited therein EP 0897710 is accompanied by the corresponding US Patent 6,084,004, since this reference was published in German. A second prior art reference EP 199672, also published in German is accompanied by the corresponding US Patent 7,936,055.

The items identified in this IDS may or may not be "material" pursuant to 37 CFR § 1.56. The submission thereof by Applicant is not to be construed as an admission that any such patent, publication or other information referred to therein is material or considered to be material (37 CFR § 1.97(h)), or even qualifies as "prior art" under 35 USC § 102 with respect to this invention unless specifically designated by Applicant as such.

CERTIFICATE OF MAILING
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

June 14, 2002
Date of Deposit

Russell Morrisette

Name of Person Mailing Paper

Signature of Person Mailing Paper

INFORMATION DISCLOSURE STATEMENT FILING PROVISION:

☒ This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), that is (1) within three months of the filing date of the application, which is not a continued prosecution application filed under § 1.53(d); or (2) within three months of entry of the national stage as set forth in 37 CFR § 1.491; or (3) before the mailing of a first Office action on the merits; or (4) before the mailing of a first Office action after filing a request for continued examination under § 1.114. Thus, no fee is required.

☒ However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and charge the fee due under 37 CFR § 1.17(p) to the deposit account referenced below.

☐ However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and a statement under 37 CFR § 1.97(e) is included below, thus no fee is required.

☐ This IDS is being submitted under 37 CFR § 1.97(c), that is after mailing of a first Office action on the merits, but before a Final Action under 37 CFR § 1.113 or a Notice of Allowance under 37 CFR § 1.311.

☐ The fee due under 37 CFR § 1.17(p) is submitted herewith.

☐ A statement under 37 CFR § 1.97(e) is included below, thus no fee is required. In the event that this IDS is not received before a Final Action or a Notice of Allowance, then Applicant respectfully requests that the Office consider the filing of these papers to be submitted under 37 CFR § 1.97(d) and charge the fee due under 37 CFR § 1.17(p) to the deposit account below.

☐ This IDS is being submitted under 37 CFR § 1.97(d), that is after a Final Action under 37 CFR § 1.113 or a Notice of Allowance under 37 CFR § 1.311, but before payment of the issue fee. A statement under 37 CFR § 1.97(e) is included below. The fee due under 37 CFR § 1.17(p) is submitted herewith.

STATEMENT UNDER 37 CFR § 1.97(e):

☐ Each item contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS.

☐ No item contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this statement after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this IDS.

PAYMENT AND/OR AUTHORIZATION TO CHARGE FEES:

- ☐ A check in the amount of _____ is enclosed for the above fee(s).
☐ Please charge _____ to Deposit Account No. **12-2475** for the above fee(s).

The Commissioner is authorized to charge any fees required by the filing of these papers, and to credit any overpayment to Lyon & Lyon's Deposit Account No. **12-2475**.

Respectfully submitted,
LYON & LYON LLP

Dated: June 14, 2002

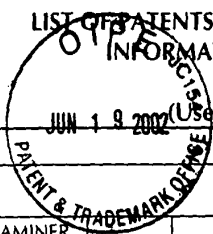
By: Charles M. Doyle
Charles M. Doyle, Esq.
Reg. No. 39,175



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FORM PTO-1449 LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO.	SERIAL NO.
	272/068	10/088,564
	Kevin Brian Hatton FILING DATE: March 15, 2002	
GROUP:		



U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	AA	6,096,796	08/01/00	Watanabe et al.			
	AB	6,084,004	07/04/00	Weinmann et al.			
	AC	5,882,842	03/16/99	Akaki et al.			
	AD	5,721,020	02/24/98	Takami et al.			
	AE	5,674,922	10/07/97	Igarashi et al.			
	AF	4,736,055	04/05/88	Dietliker et al.			
	AG	4,618,564	10/21/86	Demmer et al.			
	AH	4,518,676	05/21/85	Irving			
	AI	4,398,014	08/09/83	Green et al.			
	AJ	4,383,025	05/10/83	Green et al.			
	AK	4,368,256	01/11/83	Mollet et al.			
	AL	4,339,567	07/13/82	Green et al.			
	AM	4,299,938	11/10/81	Green et al.			
	AN	4,086,210	04/25/78	Petropoulos			
	AO	4,058,401	11/15/77	Crivello			
	AP	4,058,400	11/15/77	Crivello			
	AQ	3,991,033	11/09/76	Sam			
	AR	3,849,137	11/19/74	Barzynski et al.			

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO
	AS	EP 0 848 294 A	10/26/00	EPO			
	AT	JP 11 152441 A	06/08/99	English Abstract only			

EXAMINER:	DATE CONSIDERED:
EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant	

272/068

10/088,564

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S
INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Kevin Brian Hatton

FILING DATE:

March 15, 2002

GROUP:

	AU	JP 11 181391 A	07/06/99	English Abstract only				
	AV	EP 0 897 710 A2	02/24/99	EPO (corresponds to US 6,084,004) Abstract Only				
	AW	EP 199672 A	04/07/86	EPO (corresponds to US 4,736,055) Abstract Only				
	AX	EP 0 848 292 A1	06/17/98	EPO				
	AY	WO 00 63272	10/26/00	WIPO				
	AZ	GB 1,516,351	07/05/78	Great Britain				
	BA	GB 1,539,192	01/31/79	Great Britain				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

	BA	Saski et al., "Photoinitiated Cationic Polymerization of Oxetane Formulated with Oxirane", J. Polymer Sci. Part A: Polym. Chem. 33:1807-1816 (1995)
	BC	
	BD	

EXAMINER:

DATE CONSIDERED:

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